

FORM PTO-1390
(REV 11-2000)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC § 371

US APPLICATION NO. If known, see 37 CFR 1.5

09/856228

INTERNATIONAL APPLICATION NO.

PCT/US00/21014

INTERNATIONAL FILING DATE

31 July 2000

PRIORITY DATE CLAIMED

31 July 1999

TITLE OF INVENTION

METHOD AND APPARATUS FOR POWERED INTERACTIVE Physical Displays

APPLICANT(S) FOR DO/EO/US

CRAIG L. LINDEN (LINDEN, CRAIG, L.)

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 USC § 371 ☐
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC § 371 ☐
- 3 ☐ This is an express request to begin national examination procedures (35 USC § 371(f)) ☐ The submission must include items (5), (6), (9) and (21) indicated below ☐
- 4 ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31) ☐
- 5 ☐ A copy of the International Application as filed (35 USC § 371(c)(2)) ☐
- a ☐ is attached hereto (required only if not communicated by the International Bureau) ☐
- b ☒ has been communicated by the International Bureau ☐
- c ☒ is not required, as the application was filed in the United States Receiving Office (RO/US) ☐
- 6 ☐ An English language translation of the International Application as filed (35 USC § 371(c)(2)) ☐
- a ☐ is attached hereto ☐
- b ☐ has been previously submitted under 35 USC § 54(d)(4) ☐
- 7 ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)) ☐
- a ☐ are attached hereto (required only if not communicated by the International Bureau) ☐
- b ☐ have been communicated by the International Bureau ☐
- c ☐ have not been made; however, the time limit for making such amendments has NOT expired ☐
- d ☐ have not been made and will not be made ☐
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)) ☐
- 9 ☒ An oath or declaration of the inventor(s) (35 USC § 371(c)(4)) ☐ (2 pages)
- 10 ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 USC § 371(c)(5)) ☐

Items 11 to 20 below concern document(s) or information included:

- 11 ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 ☐ (21 pages)
- 12 ☐ An assignment document for recording ☐ A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included ☐
- 13 ☐ A **FIRST** preliminary amendment ☐
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment ☐
- 15 ☐ A substitute specification ☐
- 16 ☐ A change of power of attorney and/or address letter ☐
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter and 35 USC § 821 - 1.825 ☐
- 18 ☐ A second copy of the published international application under 35 USC § 54(d)(4) ☐
- 19 ☐ A second copy of the English language translation of the international application under 35 USC § 54(d)(4) ☐
- 20 ☐ Other items or information:

USC PCT/PTO (Rev. 11-2000) (see 37 CFR 1.53)

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21 ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO ☐ \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO ☐ \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ☐ \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ☐ \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) ☐ \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 710

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)) ☐

\$ 130

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	25 - 20 =	5	x \$18.00
Independent claims	4 - 3 =	1	x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00
TOTAL OF ABOVE CALCULATIONS =			\$ 1,280
<input checked="" type="checkbox"/> Applicant claims small entity status <input type="checkbox"/> See 37 CFR 1.27 <input type="checkbox"/> The fees indicated above are reduced by 1/2 <input type="checkbox"/>			\$ 640
SUBTOTAL =			\$ 640
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) <input type="checkbox"/>			\$ —
TOTAL NATIONAL FEE =			\$ 640
Fee for recording the enclosed assignment (37 CFR 1.21(h)) <input type="checkbox"/> The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) <input type="checkbox"/> \$40.00 per property +			\$ —
TOTAL FEES ENCLOSED =			\$ 640
			Amount to be refunded: \$
			charged: \$

a ☒ A check in the amount of \$ 640. to cover the above fees is enclosed ☐ CK # 6833

b ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees ☐
A duplicate copy of this sheet is enclosed ☐

c ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. _____ ☐ A duplicate copy of this sheet is enclosed ☐

d ☐ Fees are to be charged to a credit card ☐ **WARNING:** Information on this form may become public ☐ Credit card
information should not be included on this form ☐ Provide credit card information and authorization on PTO-2038 ☐

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.437 (a) or (b)) must be filed and granted to restore the application to pending status ☐

SEND ALL CORRESPONDENCE TO:

CRAIG L. LINDEN
1335 MIDWAY DRIVE
ALPINE, CA 91901
USA

SIGNATURE

CRAIG L. LINDEN

NAME

REGISTRATION NUMBER

3. Terminal disclaimer with disclaimer fee

- ☒ Since this international application has an international filing date on or after June 8, 1995, no terminal disclaimer is required.
- ☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$_____ for a small entity or \$_____ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. Statement. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

MAY 8, 2000
Date

Telephone
Number: (619) 445-3563

Craig L. Linden
Signature
CRAIG L. LINDEN
Typed or printed name
1335 MIDWAY DRIVE
Address
Alpine, CA 91901
USA

- Enclosures: ☒ Response
☒ Fee Payment ck# 6833
☐ Terminal Disclaimer Form
☐ _____

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN INTERNATIONAL APPLICATION FOR PATENT DESIGNATING THE U.S. ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)	Docket Number (Optional)
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First named inventor: LINDEN, CRAIG, L.International (PCT) Application No.: PCT/US00/21014 U.S. Application No.:
(if known)Filed: 31 July 2000Title: METHOD AND APPARATUS FOR POWERED INTERACTIVE PHYSICAL
DISPLAYS

Attention: PCT Legal Staff
 Box PCT
 Assistant Commissioner for Patents
 Washington, D.C. 20231

The above-identified application became abandoned as to the United States because the fees and documents required by 35 U.S.C. 371(c) were not filed prior to the expiration of the time set in 37 CFR 1.494(b) or (c) or 1.495(b) or (c) as applicable. The date of abandonment is the day after the date on which the 35 U.S.C. 371(c) requirements were due. See 37 CFR 1.494(g) or 1.495(h).

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

NOTE: A grantable petition requires the following items:

- (1) Petition fee
- (2) Proper reply
- (3) Terminal disclaimer with disclaimer fee—required for all international applications having an international filing date before June 8, 1995; and
- (4) Statement that the entire delay was unintentional.

1. Petition fee

☒ Small entity - fee \$ 620 (37 CFR 1.17(m)). Applicant claims small entity status.
 See 37 CFR 1.27.

☐ Other than small entity - fee \$ _____ (37 CFR 1.17(m))

2. Proper reply

A. The proper reply (the missing 35 U.S.C. 371(c) requirement(s) in the form of
DECLARATION; FEES, INFO. DISCLOSURES, TRANSMITTAL (identify type of reply):
FORMS.

- ☐ has been filed previously on _____
☒ is enclosed herewith.

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620.00 OP

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Burden Hour Statement: This form is estimated to take 1.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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